

# MEETING AGENDA

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## SUNDAY, MARCH 24, 2019

*Business Casual Attire Requested*

- 12:00 – 7:00pm** *Conference Registration Open*
- 5:30 – 7:00pm** *Exhibits Open*
- 11:00am – 2:00pm** **Board of Directors Meeting & Lunch** *Eleanor, 3rd Floor*  
Attendance limited to Board of Directors only.
- 12:45 – 1:45pm** **Co-Chair Meeting** *Griffin/Robinson, 2nd Floor*  
Attendance limited to Co-chairs only.
- 2:00 – 3:00pm** **Board of Directors & Co-Chair Meeting** *Griffin/Robinson, 2nd Floor*  
Attendance limited to Board of Directors & Co-chairs.
- 3:00 – 3:30pm** **Networking Break** *Rose Kennedy Ballroom Foyer, 3rd Floor*
- 3:00 – 3:30pm** **New Member & First Time Attendee Orientation** *Eleanor, 3rd Floor*  
All new members and first time attendees welcome!
- 3:30 – 5:30pm** **SWIFT Innotribe Workshop: Platform Design – Shaping Strategy & New Market Opportunities** *Rose Kennedy Ballroom IV & V, 3rd Floor*  
**Charles Boniver, Senior Securities Expert, Standards, SWIFT**  
**Stephen Lindsay, Head of Standards, SWIFT**  
**Innes MacLeod, Innovation Manager, SWIFT**  
**Markos Zachariadis, Visiting Prof. of FinTech at Ivey Business School & Associate Professor in Information Systems Management at Warwick Business School**  
Launched in 2009, SWIFT Innotribe was created to identify the emerging technologies and innovative trends surrounding the financial services industry and generate discussions on their potential impact moving forward. Benefitting from SWIFT's central position, Innotribe provides a platform to the global financial community to understand the dynamics behind technology changes and to help focus on the opportunities for transformation rather than the threats to current market practices.  
In the last couple of years, the impact of "platforms" has been discussed from many angles as businesses realize they will succeed based on their ability to captivate third parties and connect them to each other through creative interactions. There is a real appetite from the financial industry to explore how platform companies create radical adjacencies and new horizontal markets, and how they fit in the overall digital frame.  
In this facilitated workshop, SWIFT Innotribe will provide an overview into the thinking behind the platform economy, and guide participants through a journey from a pain-point to a potential solution. By using components, processes and potential platform market players, the aim is to capture common industry requirements that are not a source of competitive differentiation and would fit a shared platform or utility solution – mutualizing development and operational costs to improve efficiency at a market or industry level. At the end of the workshop, groups will have the opportunity 'pitch' their solutions to a panel of experts.
- 5:30 – 7:00pm** **Cocktail Reception in the Exhibit Hall** *Abigail Adams Ballroom, 2nd Floor*



## MONDAY, MARCH 25, 2019

*Business Attire Requested*

- 7:30am – 6:45pm** *Conference Registration and Exhibits Open*
- 7:30 – 8:30am** **Breakfast and New Member & First Time Attendee Orientation in the Exhibit Hall** *Abigail Adams Ballroom, 2nd Floor*



## MONDAY, MARCH 25, 2019 continued

*Business Attire Requested*

**8:30 – 8:35am Welcoming Remarks** *Rose Kennedy Ballroom I - III, 3rd Floor*  
 Kristin Hochstein, *Master of Ceremonies, ISITC Vice Chair*

**8:35 – 8:50am Chair's Address** *Rose Kennedy Ballroom I - III, 3rd Floor*  
 Lisa Iagatta, *ISITC Chair*

**8:55 – 9:40am Opening Keynote Address: Financial Services Disrupted: Driving Business Change by Harnessing the Power of Disruption** *Rose Kennedy Ballroom I - III, 3rd Floor*  
 John Plansky, *Chief Executive Officer, Charles River Development, a State Street Company*

The pace of industry change remains unrelenting. Regulation, cost and fee pressures, advanced technologies, and new forms of competition are all combining to force industry participants to adapt or wither. Incumbents continue to hold a meaningful advantage due to their client relationships and distribution reach, their operational and technology scale, and their data. However, they cannot stand pat, and they'll need to accelerate their change, adapt their business models, and take a more open architecture / ecosystem-oriented approach to their businesses, including how they work with smaller FinTech firms. Those who emerge successfully will maintain a strong sense of urgency in driving business change to help their clients attract more assets, enhance investment returns, manage risk and compliance, and increase operational efficiency. Join us to hear John's unique industry perspectives on industry change and the need for adaptation, based on his experience as a start-up founder, industry consultant and most recently as the CEO of Charles River Development and architect of State Street's "front-to-back" strategic initiatives.

**9:40 – 10:15am Networking Break in the Exhibit Hall** *Abigail Adams Ballroom, 2nd Floor*

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**10:15 – 11:00am Technology Trends in Financial Services: Enabling Change & Transformation** *Rose Kennedy Ballroom I - III, 3rd Floor*  
 Moderator: David Scola, *Head of North America, SWIFT*  
 Gary Chan, *Managing Director, JP Morgan*  
 Richard Robinson, *Senior Executive, Bloomberg, L.P.*

The transformation of financial services is underway – driven by the emergence of new technologies, increasing customer demands and regulatory pressures. But how can firms respond to the pace of change and transform their businesses to remain relevant and competitive? Which technologies hold the promise of truly transforming financial services and which are less likely to live up to the hype? Join this interactive session, where senior leaders from across the financial services industry will assess the threats and opportunities in store for the financial industry in the years to come.

**11:05 – 11:50am Fintech Meeting Securities Operations: Asset Services Disrupted** *Rose Kennedy Ballroom I - III, 3rd Floor*  
 Mason Borda, *CEO, TokenSoft*  
 Artem Korenyuk, *Executive Director, Business Development and Fintech Strategy, DTCC*  
 Jason Ward, *Vice President, Business Architecture in FPCMS division, Fidelity Investments*

Join ISITC for an in-depth panel discussion to hear perspectives from securities operations and industry experts regarding how distributed ledger technology, blockchains and associated cryptoassets may impact asset services. The discussion will explore the spectrum of opportunities from operational efficiency plays to the evolution of new business models enabled by these emerging innovative technologies.

**11:50am – 1:00pm Lunch in the Exhibit Hall** *Abigail Adams Ballroom, 2nd Floor*

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## MONDAY, MARCH 25, 2019 continued




*Business Attire Requested*

- |                      |  |  |
|----------------------|--|--|
| <b>1:00 – 2:15pm</b> | <p><b>SWIFT Innotribe Workshop: Platform Design – Shaping Strategy &amp; New Market Opportunities Continued</b><br/> <b>Charles Boniver</b>, <i>Senior Securities Expert, Standards, SWIFT</i><br/> <b>Stephen Lindsay</b>, <i>Head of Standards, SWIFT</i><br/> <b>Innes MacLeod</b>, <i>Innovation Manager, SWIFT</i><br/> <b>Markos Zachariadis</b>, <i>Visiting Prof. of FinTech at Ivey Business School &amp; Associate Professor in Information Systems Management at Warwick Business School</i><br/>                 See abstract on page 7.</p>   | <i>Rose Kennedy Ballroom I - III, 3rd Floor</i>    |
| <b>2:20 – 3:05pm</b> | <p><b>Data Disrupted: What's Changed, What's New, What's Next?</b><br/> <b>Moderator: John Bottega</b>, <i>Executive Director, EDM Council</i><br/> <b>Chris Bradford</b>, <i>Senior Leader for Investment Management Division Operations, Goldman Sachs</i><br/> <b>Genevy Dimitrion</b>, <i>Senior Vice President, State Street Corporation</i><br/> <b>Tim Lind</b>, <i>Managing Director, DTCC</i></p> <p>Data has emerged from the back office and is now front-and-center as a strategic asset - to be used to discover insights in the market, better understand customer preferences and behaviors, and to provide new and innovative products and services. But what does this mean to the way business is (or should be) run? Is data (and data management) truly becoming part of the fabric of organizations? How has this discipline evolved over the past 10-12 years since the first Chief Data Officer emerged? How is AI/ML changing the industry? How has data management impacted and/or created new careers? How are data providers modifying their business models to address these changing conditions? This panel will explore these questions and give insights into what's next.</p>  | <i>Rose Kennedy Ballroom I - III, 3rd Floor</i>    |
| <b>3:05 – 3:30pm</b> | <p><b>Networking Break in the Exhibit Hall</b><br/> <i>Sponsored by</i></p> <div style="text-align: center;">  </div>   | <i>Abigail Adams Ballroom, 2nd Floor</i>           |
| <b>3:30 – 4:15pm</b> | <p><b>Why AI and Robotics? The Allure of Intelligent Automation</b><br/> <b>Jason Baldesare</b>, <i>Director, Strategy and Solutions Management, FIS</i><br/> <b>Gordie Sands</b>, <i>Executive Director, IT Architecture and Office of FinTech Strategy, DTCC</i><br/> <b>Dushyanth Sekhar</b>, <i>Senior Director, Center for Automation, Robotics &amp; Extraction, S&amp;P Global</i></p> <p>As the discussion on Machine Learning, Artificial Intelligence and Robotics continues its marathon the financial community tries to connect the dots. Robotics is giving firms the innovation they need to adopt intelligent automation across departments seeking to increase efficiency and accelerate workflows. Although Machine Learning continues to intrigue the community, there is still a lot to uncover. What is the strategy, where does artificial intelligence fit? Why are firms investing in robotics? How do they handle risk? How do organizations make these capabilities available to all employees? The potential to reduce operational risk and improve efficiencies while contributing to the industry's commitment to take on emerging technology can be alluring. With the promising focus on automating tasks and not jobs, it's clear that intelligent automation has its advantages — and challenges.</p> | <i>Rose Kennedy Ballroom I - III, 3rd Floor</i>    |
| <b>4:15 – 4:25pm</b> | <p><b>Raffle Prize Drawing &amp; Standard of Excellence Award</b></p>  | <i>Rose Kennedy Ballroom I - III, 3rd Floor</i>    |
| <b>4:30 – 5:15pm</b> | <p><b>Closing Keynote Address: U.S. Macro-Economic Outlook: Growth, Interest Rates, &amp; Risk of a Recession</b><br/> <b>Satyam Panday</b>, <i>Senior Economist, S&amp;P Global</i></p> <p>Dr. Panday will provide an overview of the current US economic landscape, including GDP growth, unemployment rate, and interest rate prospects. He will also discuss key near-term and long-term risks to the economic outlook, including risks from trade policy, monetary policy, and fiscal policy.</p>   | <i>Rose Kennedy Ballroom I - III, 3rd Floor</i>    |
| <b>5:15 – 6:45pm</b> | <p><b>Cocktail Reception in the Exhibit Hall</b><br/> <i>Raffle Winners Announced at 6:15pm</i><br/> <i>Sponsored by</i></p> <div style="text-align: center;"> <br/> <small>Securing Today. Shaping Tomorrow.®</small> </div>   | <i>Abigail Adams Ballroom, 2nd Floor</i>           |
| <b>6:45pm</b>        | <p><b>Conference Dinner</b><br/> <i>Sponsored by</i></p> <div style="text-align: center;">  </div>  | <i>Rose Kennedy Ballroom IV &amp; V, 3rd Floor</i> |

# MEETING AGENDA


## TUESDAY, MARCH 26, 2019

*Business Casual Attire Requested*

<b>8:00 – 9:00am</b>	<b>Breakfast &amp; Annual Business Meeting</b> <i>Sponsored by</i> 	<i>Rose Kennedy Ballroom IV &amp; V, 3rd Floor</i>
<b>9:00 – 10:30am</b>	<b>Session I</b> - Corporate Actions I - Derivatives	<i>Rose Kennedy Ballroom I, 3rd Floor Rose Kennedy Ballroom III, 3rd Floor</i>
<b>10:30 – 10:45am</b>	<b>Networking Break</b> <i>Sponsored by</i> 	<i>Rose Kennedy Foyer, 3rd Floor</i>
<b>10:45am – 12:15pm</b>	<b>Session II</b> - Custodian - Investment Manager	<i>Rose Kennedy Ballroom I, 3rd Floor Rose Kennedy Ballroom III, 3rd Floor</i>
<b>12:15 – 1:15pm</b>	<b>Lunch</b>	<i>Rose Kennedy Ballroom IV &amp; V, 3rd Floor</i>
<b>1:15 – 2:45pm</b>	<b>Session III</b> - Corporate Actions II - Roundtable - Margin & Collateral	<i>Rose Kennedy Ballroom II, 3rd Floor Rose Kennedy Ballroom III, 3rd Floor</i>
<b>2:50 – 3:50pm</b>	<b>Session IV</b> - Securities Lending - Reference Data & Standards	<i>Rose Kennedy Ballroom I, 3rd Floor Rose Kennedy Ballroom III, 3rd Floor</i>
<b>3:50 – 4:00pm</b>	<b>Networking Break</b> <i>Sponsored by</i> 	<i>Rose Kennedy Foyer, 3rd Floor</i>
<b>4:00 – 5:30pm</b>	<b>Session V</b> - Corporate Actions III - Settlements	<i>Rose Kennedy Ballroom I, 3rd Floor Rose Kennedy Ballroom III, 3rd Floor</i>

## WEDNESDAY, MARCH 27, 2019

*Business Casual Attire Requested*

<b>7:45 – 9:00am</b> <i>Presentation:</i> <b>8:15 – 9:00am</b>	<b>Women's Breakfast: How 'Fearless Girl' Gave Rise to Greater Gender Diversity</b> <b>Dominica Ribeiro, Head of Institutional Marketing, State Street Global Advisors</b>  In just 12 hours after her placement in New York City's financial district, Fearless Girl generated more than one billion impressions on Twitter alone. Hear from Dominica Ribeiro, Head of Institutional Marketing, North America at State Street Global Advisors, about how 'Fearless Girl' helped the firm – one of the largest asset managers in the world – take bold action to promote greater gender diversity in senior leadership. Learn about the innovative thinking that gave rise to 'Fearless Girl', and how the firm measured her business impact. <i>*Everyone is welcome to join</i> <i>Sponsored by</i> 	<i>Rose Kennedy Ballroom III, 3rd Floor</i>
<b>9:00 – 10:00am</b>	<b>Session VI</b> - Regulatory	<i>Rose Kennedy Ballroom I, 3rd Floor</i>
<b>10:05am – 12:05pm</b>	<b>Session VII</b> - Marketing Committee & Vendor/Utility Forum	<i>Rose Kennedy Ballroom I, 3rd Floor</i>